

New Projects Webinar



Darwin Initiative and Illegal Wildlife Trade Challenge Fund



Department
for Environment
Food & Rural Affairs



Agenda



- Welcome
- Introduction to the schemes
- The role of the Darwin Secretariat & key personnel
- Introduction to project reporting
- Project communications
- Questions

Objectives



- To provide an introduction to the DI & IWT Challenge Fund
- To 'meet' the Darwin Initiative key people and understand their roles
- To get an insight of the administration and reporting requirements under DI & IWT



Survey



What is your role on the project?

- Project leader/co-leader
- Technical
- Admin/finance
- Other

Welcome & Congratulations!



Main Darwin Round 23:

- 426 main project applications received at Stage 1
- 77 main project applications invited to Stage 2
- **30 new main projects funded**
- 10 scoping projects funded, 1 Fellowship, 1 post project

Darwin Plus (UK Overseas Territories):

- Round 5 just completed
- 29 applications for Darwin Plus **16 new projects funded**

IWT Challenge Fund Round 3:

- 113 IWT applications received at Stage 1
- 37 IWT applications invited to Stage 2
- **13 new IWT projects funded**

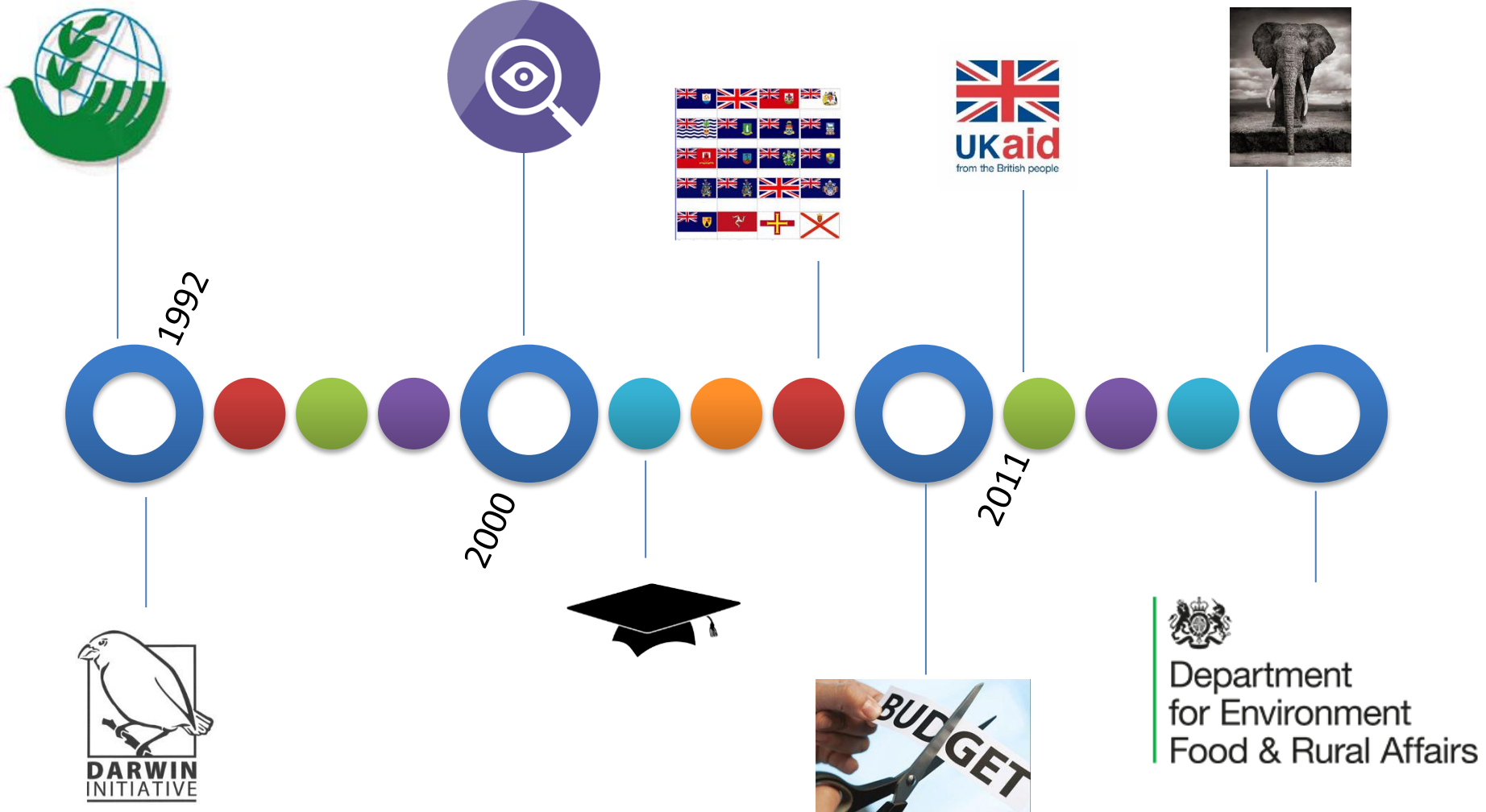
Survey



What is your history with Darwin and IWT?

- No history
- My organisation has had IWT/Darwin project before but I have not been directly involved
- I have worked on one before
- I have led one before

The Evolution of the Schemes



The Evolution of the Schemes



First announced at the Rio Earth Summit in 1992

2017 – Marks 25th Anniversary of the Darwin Initiative

2000 – M&E begins – but more basic than now

2002 – small schemes (fellowships, scoping and post projects)

2009 – Overseas Territories Challenge Fund (scoping for UKOTs)

2011 – DfID co-funding of Darwin begins

2012 – Darwin Plus scheme for work in the UKOTs – funds environment including waste, water and energy

2014 – Illegal Wildlife Trade established following London Conference

2016 – Funding for Darwin and IWT to Defra from treasury. Must meet Official Development Assistance (ODA) requirements i.e. deliver economic and wellbeing benefits for developing countries

The Schemes



Main Projects: Support developing countries to conserve biodiversity and deliver wellbeing benefits

Post Projects: To add value to a previously funded Main Project. Must also be working to support poverty alleviation in developing countries

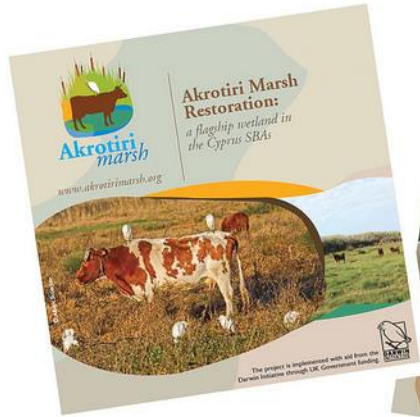
Scoping Projects: For applicants new to Darwin to build partnerships and develop a workable proposal

Fellowship: Fellowships cover training related to biodiversity conservation over 12-14 months

Darwin Plus: Expected to support environment/climate change issues in UKOTs. Not required to have a specific poverty alleviation element. Complex funding arrangements

IWT: Projects focused on developing sustainable livelihoods, strengthening law enforcement, and demand reduction of products of IWT

Snapshot of Successes



Batwa Cultural Values Project
SANITATION AND HYGIENE TRAINING
Bad Practices

Partner Organizations:
 FAUNA & FLORA INTERNATIONAL
 DARWIN INITIATIVE
 UNICEF
 UWA
 WFP

© 2011 Uganda | Address: Katumba Road Plot 1 Naggwa | Kampala, Uganda | Website: www.darwin-fora.org | Tel: +256 772 355 001



Snapshot of Successes



- UK Government has invested over £140m invested since 1992 in 1,055 Darwin projects working in 159 countries.
- For IWT, there are now 47 projects, a total of £14m.
- Over 2,600 species or habitat management plans have been produced
- Over 2,800 peer review papers have been published
- Around 1,300 species reference collections have been established
- Around £6m value of physical assets handed over to host country(s)
- Over £80m resources have been leveraged as a result of Darwin projects

Darwin 'Family'



Darwin & IWT Secretariat (Defra)

Darwin Expert Committee (DEC)

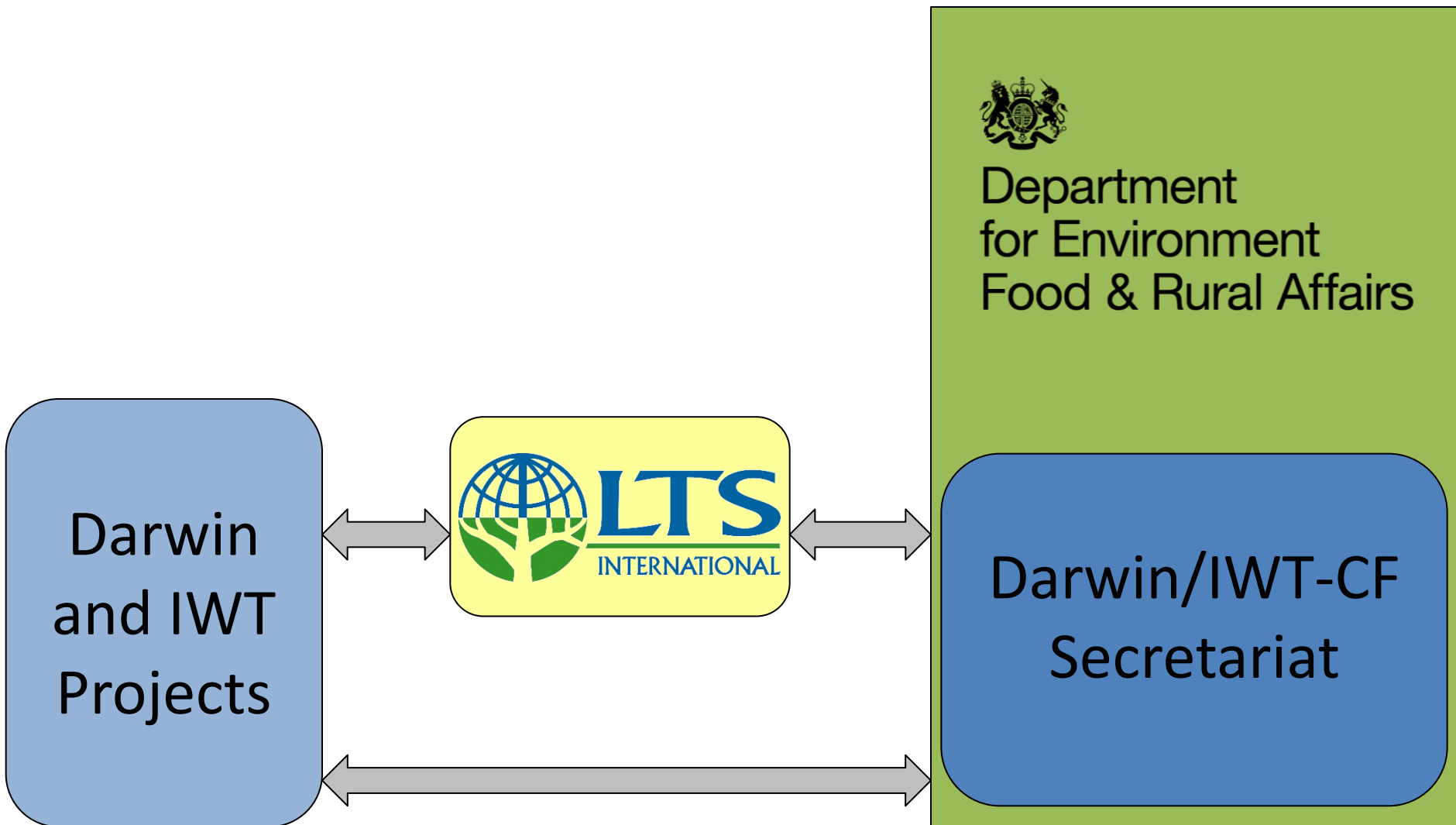
Illegal Wildlife Trade Advisory Group (IWTAG)

Darwin Plus Advisory Group (DPAG)

Programme Administrators (LTS International)

Darwin, IWT-CF and D+ projects

Who's Who?



Darwin & IWT Secretariat



Claire Millar & Fiona Charlesworth – overall management / strategy of the Darwin Initiative and IWT-CF

Jacqueline Tumwine – Policy Adviser, ODA Team

Shaluki Perera – Support on Darwin policy issues including DEC recruitment, events and publicity

Defra finance team – Financial administration (payment of claims)

The role of the Darwin Secretariat



- Overall management and strategy of Darwin Initiative and IWT-CF
- Ministerial advice (PQs, correspondence, briefings)
- Management of LTS International Contract
- Support to the DEC, DPAG, IWTAG
- DEC/DPAG/IWTAG appointments
- Publicity and events – involving Ministers

LTS International



- Simon Mercer
- Technical support to the Darwin Initiative and IWT-CF
- Simon-Mercer@ltsi.co.uk



- Eilidh Young
- Day to day contact with all Darwin projects
- Supports projects and acts as clearing-house for most queries
- Darwin-Projects@ltsi.co.uk



- Victoria Pinion
- Technical support to the Darwin Initiative and IWT-CF
- Day to day contact for IWT queries
- IWT-Fund@ltsi.co.uk

Any questions?



Reporting & Accountability



- There are a number of requirements to ensure the high standard of projects is maintained
- These can be divided into:
 - Project technical reporting
 - Project financial reporting
- Broadly consistent process between schemes



Expectations of projects



- High **quality** and **scientific**
- **Collaborative**
- **Assist** countries to meet their objectives under CBD, Nagoya, ITPGRFA & CITES
- Support countries in pursuing the objectives of the London conference/ Kasane declaration
- Should address poverty alleviation*



Technical Reporting



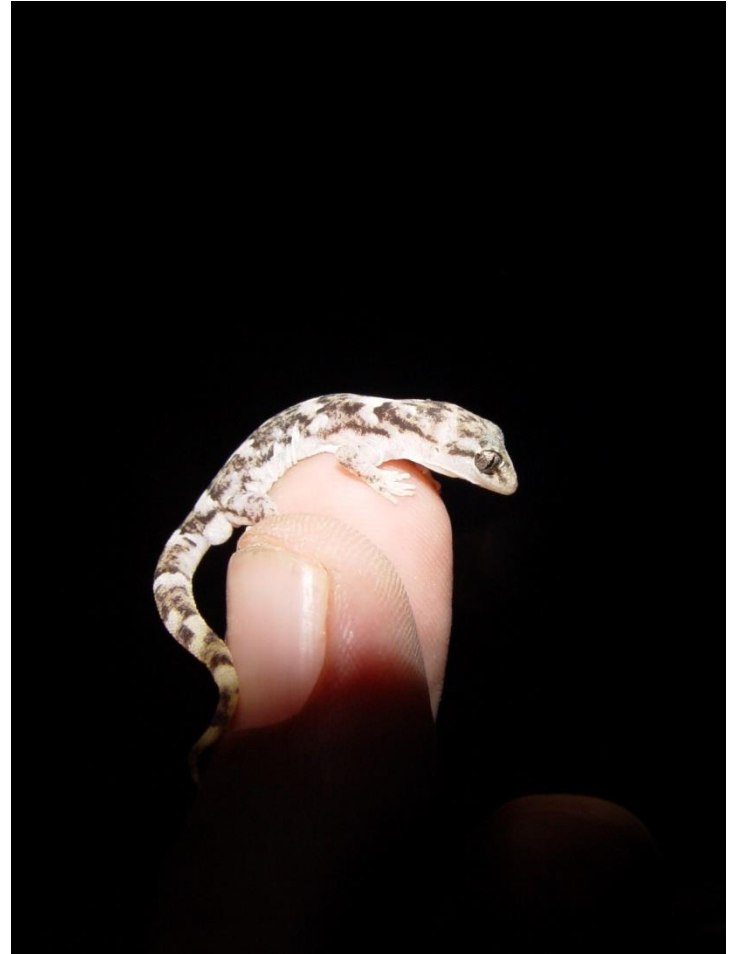
- Projects required to report twice per year for the duration of their grant:
 - Half Year reports
 - Annual Reports
 - Final
- Project reports are posted on the website (minus contact details & financial details)
- Reminder sent 1 month before deadline



Half Year Reports



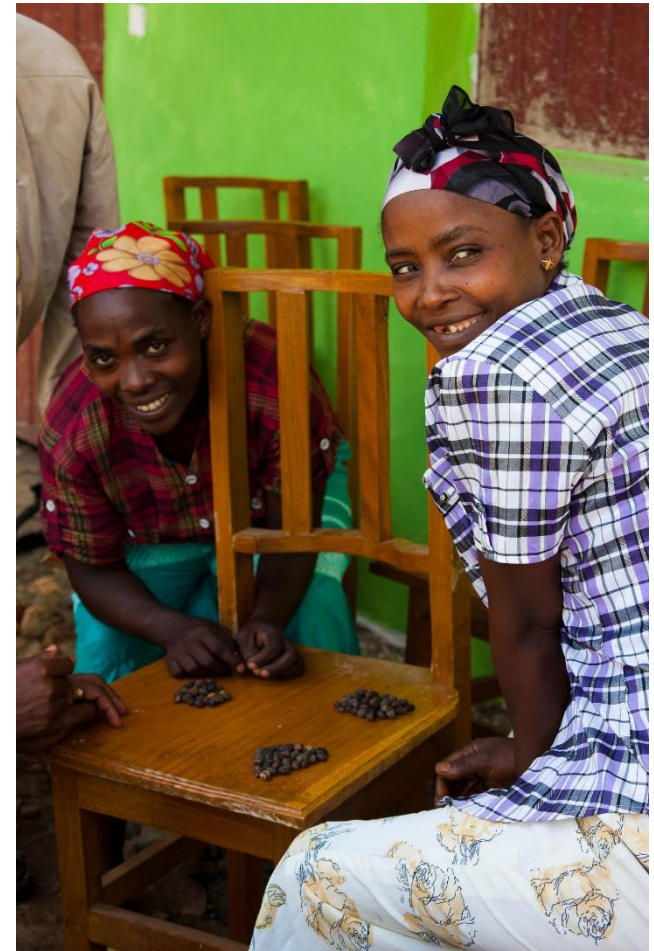
- Provide a brief update on project progress
- Opportunity to discuss queries/issues (also available all year round)
- Submitted by **31st October** annually



Annual Reports



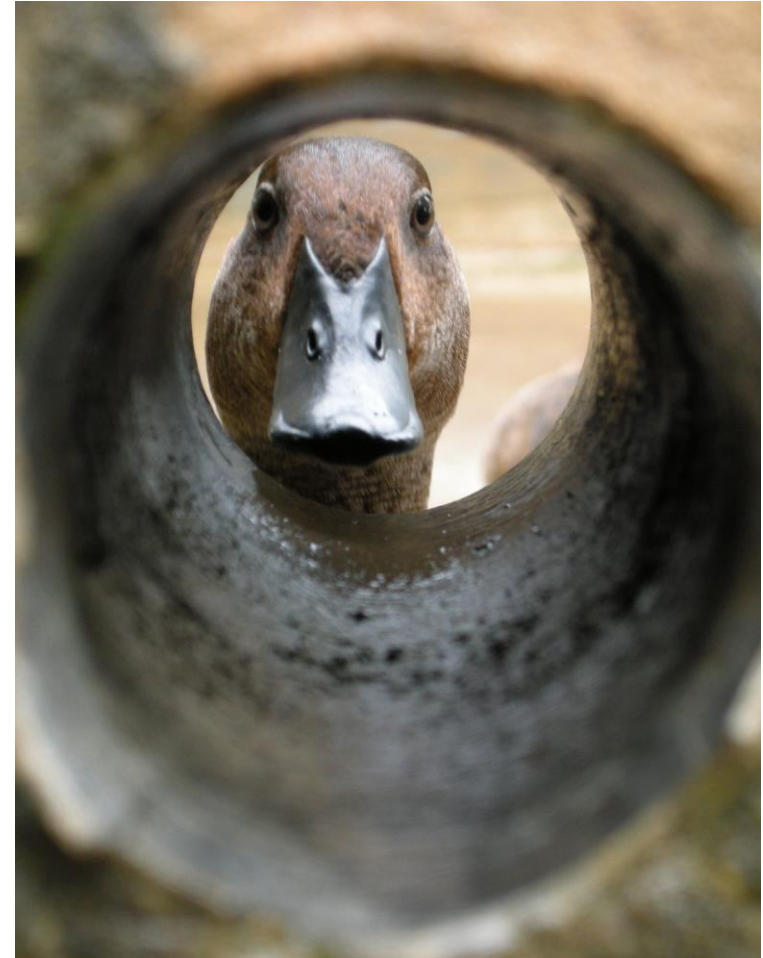
- To detail progress against planned activities, outputs & outcome
- Good use of indicators & evidence (means of verification) reduces reporting burden
- Due **30th April** annually



Final Reports



- Provide information on the *overall* success of the project against its proposed outcome, outputs and activities
- Good use of indicators and evidence (means of verification) reduces reporting burden



Survey



How is the pace of this webinar?

- Far too slow
- A bit slow
- About right
- A bit fast
- Far too fast

Reducing the reporting burden



- Report against original application unless changes have been made to logframe
- Significant changes to logframe/design need to be approved



Indicators



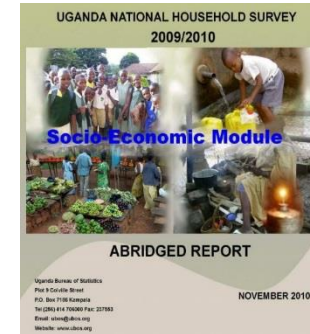
- Indicators are what will be measured to show progress and outcome
- Should be SMART:



Means of Verification



- Should be submitted in reporting as verification of progress
- Reduces onerous narrative reporting
- In-Report
 - Use of secondary data
 - Illustrative quotes
 - Figures
- Additional Documents
 - Meeting minutes of Committee approving plans
 - Letter formally accepting management plans from Ministry of Environment
 - Photos



REGION	DISTRICT	SUB-DISTRICT	POPULATION	AGRICULTURE	INDUSTRY	CONSTRUCTION	RETAIL
020	Western District	St. Joseph	100,000	100,000	100,000	100,000	100,000
021	Western District	St. Mary	100,000	100,000	100,000	100,000	100,000
022	Western District	St. Peter	100,000	100,000	100,000	100,000	100,000
023	Western District	St. Paul	100,000	100,000	100,000	100,000	100,000
024	Western District	St. John	100,000	100,000	100,000	100,000	100,000
025	Western District	St. James	100,000	100,000	100,000	100,000	100,000
026	Western District	St. George	100,000	100,000	100,000	100,000	100,000
027	Western District	St. Andrew	100,000	100,000	100,000	100,000	100,000
028	Western District	St. Basil	100,000	100,000	100,000	100,000	100,000
029	Western District	St. Nicholas	100,000	100,000	100,000	100,000	100,000
030	Western District	St. Demetrius	100,000	100,000	100,000	100,000	100,000
031	Western District	St. Pantelimon	100,000	100,000	100,000	100,000	100,000
032	Western District	St. Procopius	100,000	100,000	100,000	100,000	100,000
033	Western District	St. Eustachius	100,000	100,000	100,000	100,000	100,000
034	Western District	St. Ignace	100,000	100,000	100,000	100,000	100,000
035	Western District	St. Felicitas	100,000	100,000	100,000	100,000	100,000
036	Western District	St. Adolphus	100,000	100,000	100,000	100,000	100,000
037	Western District	St. Veronika	100,000	100,000	100,000	100,000	100,000
038	Western District	St. Elizabeth	100,000	100,000	100,000	100,000	100,000
039	Western District	St. Katharine	100,000	100,000	100,000	100,000	100,000
040	Western District	St. Agatha	100,000	100,000	100,000	100,000	100,000
041	Western District	St. Margareta	100,000	100,000	100,000	100,000	100,000
042	Western District	St. Barbara	100,000	100,000	100,000	100,000	100,000
043	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
044	Western District	St. Apollonia	100,000	100,000	100,000	100,000	100,000
045	Western District	St. Anastasia	100,000	100,000	100,000	100,000	100,000
046	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
047	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
048	Western District	St. Genevieve	100,000	100,000	100,000	100,000	100,000
049	Western District	St. Eudocima	100,000	100,000	100,000	100,000	100,000
050	Western District	St. Margaretha	100,000	100,000	100,000	100,000	100,000
051	Western District	St. Cecylia	100,000	100,000	100,000	100,000	100,000
052	Western District	St. Juliane	100,000	100,000	100,000	100,000	100,000
053	Western District	St. Katerina	100,000	100,000	100,000	100,000	100,000
054	Western District	St. Dorothea	100,000	100,000	100,000	100,000	100,000
055	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
056	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
057	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
058	Western District	St. Genevieve	100,000	100,000	100,000	100,000	100,000
059	Western District	St. Eudocima	100,000	100,000	100,000	100,000	100,000
060	Western District	St. Margaretha	100,000	100,000	100,000	100,000	100,000
061	Western District	St. Cecylia	100,000	100,000	100,000	100,000	100,000
062	Western District	St. Juliane	100,000	100,000	100,000	100,000	100,000
063	Western District	St. Katerina	100,000	100,000	100,000	100,000	100,000
064	Western District	St. Dorothea	100,000	100,000	100,000	100,000	100,000
065	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
066	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
067	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
068	Western District	St. Genevieve	100,000	100,000	100,000	100,000	100,000
069	Western District	St. Eudocima	100,000	100,000	100,000	100,000	100,000
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073	Western District	St. Katerina	100,000	100,000	100,000	100,000	100,000
074	Western District	St. Dorothea	100,000	100,000	100,000	100,000	100,000
075	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
076	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
077	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
078	Western District	St. Genevieve	100,000	100,000	100,000	100,000	100,000
079	Western District	St. Eudocima	100,000	100,000	100,000	100,000	100,000
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085	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
086	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
087	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
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093	Western District	St. Katerina	100,000	100,000	100,000	100,000	100,000
094	Western District	St. Dorothea	100,000	100,000	100,000	100,000	100,000
095	Western District	St. Ursula	100,000	100,000	100,000	100,000	100,000
096	Western District	St. Agathe	100,000	100,000	100,000	100,000	100,000
097	Western District	St. Theresia	100,000	100,000	100,000	100,000	100,000
098	Western District	St. Genevieve	100,000	100,000	100,000	100,000	100,000
099	Western District	St. Eudocima	100,000	100,000	100,000	100,000	100,000
100	Western District	St. Margaretha	100,000	100,000	100,000	100,000	100,000

Reporting Evidence – Do's



- **Provide evidence Demonstrate where claims come from**, for example:

“We are making good progress towards our goal, as demonstrated by data provided in table x”

“Focus groups with local residents about, we believe this to be true [insert quote as evidence]”

- **Move beyond reporting on outputs** - include evidence on what has changed

“The workshop provided X community members with knowledge about sustainable pest management. Evidence from a follow-up survey indicated that chemical pesticide use has declined by 50%, which is expected to have positive impacts in local biodiversity (FAO, 2013). Crop yields for previously affected crops have increased by between 10-30%; thus demonstrating a contribution to poverty alleviation.”

Reporting Evidence – Don'ts



- Write general statements without evidence, for example:
 - “we are making good progress”*
 - “we believe this is true”*
 - “we think that this progress is adequate”*
- Only list activity outputs e.g. no. of workshops, plans, maps.
- Forget about higher level outcomes and impacts – what has changed and how in terms of biodiversity and poverty?



Any questions?

Financial Reporting Requirements



- Quarterly advance claims, but Q4 claim is based on actuals and in arrears
- An audit at closure of project
- Defra retain the right to spot check



Claims conditions

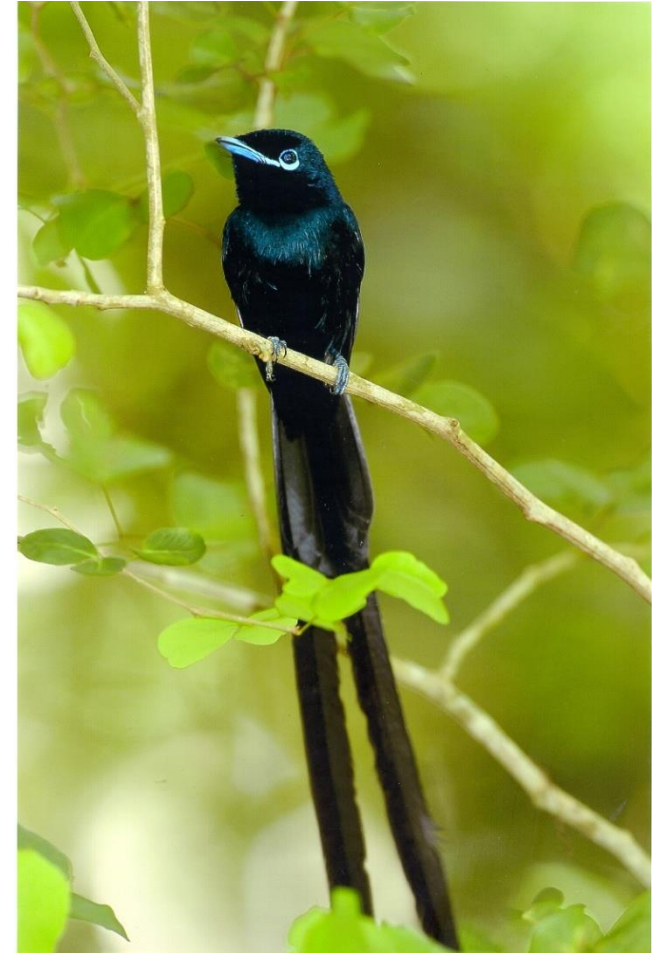


- Quarters 1, 2 are advance claims linked to reporting and return of Annual Grant Acceptance Form
- Quarter 3 is a straight advance
- Quarter 4 Actual Claim is not an advance and is dependent on actual expenditure for the year
- A retention is made from the final claim pending a satisfactory final report.

Claim Process



- Hard copy with wet signature
- LTS checks claim total and that related reporting received
- Batched eligible claims to Defra for approval
- Agreed claims then sent for payment
- You will be informed when to expect payment to your account



Conditions for Payment

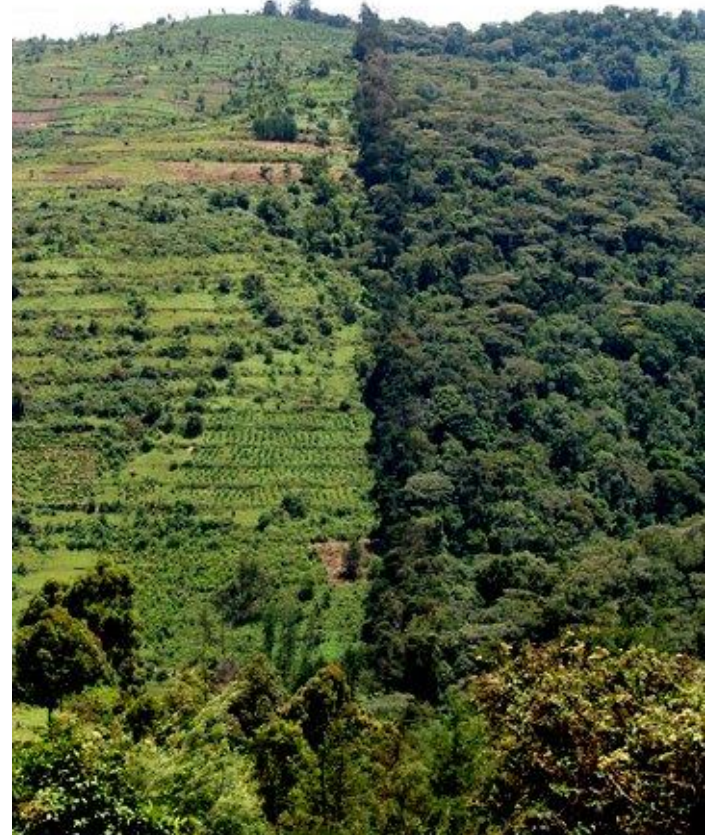


Claim	Reporting	Financial	Other
<i>Quarter 1 – advance</i>	n/a	n/a	Year 1 – signed Award Acceptance Form received From Year 2 onwards, signed Annual Grant Acceptance Form received
<i>Quarter 2 – advance</i>	From Year 2 onwards, prior year annual report received.	From Year 2 onwards, prior year Quarter 4 – actual claim received and verified.	n/a
<i>Quarter 3 – advance</i>	From Year 2, prior year annual report accepted as administratively complete	n/a	n/a
<i>Quarter 4 – actual</i>	Annual report received	Quarter 4 – actual claim received and verified.	n/a
<i>Final claim, less retention</i>	Final report	Final claim form	n/a
<i>Retention</i>	Final report accepted	Audit letter - where required	n/a

Change Requests



- Projects looking to make significant changes (both technical and financial) should submit a change request form
- This includes:
 - Virements – movement of money between budget lines
 - Re-budgets – carry-forwards not allowed
 - Changes to logical framework
 - Staff changes (senior technical staff) including CV
 - Timing changes (e.g. extensions)



Terms and Conditions



- Confirms how the funds can be used e.g. no UK party political use
- Outlines the rights retained by Defra should grantees not follow requirements e.g. reclaiming of funds, eligibility of future applications
- Requirements re branding/communicating about your project





Any questions?

Promoting your project



Communication Channels

- Darwin newsletter
- Twitter account
- Facebook page
- Blog
- Flickr photostream
- Information notes



search Darwin:
search Project Ref:

[Home](#) | [Apply](#) | [Projects](#) | [Resources](#) | [Publications](#) | [Contact](#) | [Blog](#)

997 Darwin projects. 159 countries. £126m awarded by the UK Government since 1992.

About the Darwin Initiative

Latest News



- New reporting and claims forms on website
- January 2017 Newsletter - Conservation and Conflict
- Successful Darwin Plus Projects Round 5
- September 2016 Newsletter - CITES CoP17
- Mid-term Reviews for projects in Uganda and Mozambique

[Find older news items](#)

Subscribe to our mailing list

Email Address



Our Current Projects



Newsletter



- Quarterly
- 1-2 page articles
- Use images to grab attention
- Distributed widely via e-mail, Twitter and internally in Defra
- IWT newsletter on the way
- **Darwin-newsletter@ltsi.co.uk**



Juvenile and infant chimps playing. Credit: A. Plumpton



The Darwin Initiative supports developing countries to conserve biodiversity and reduce poverty. Funded by the UK Government, the Darwin Initiative provides grants for projects working in developing countries and UK Overseas Territories (OTs).

Projects support:

- the Convention on Biological Diversity (CBD)
- the Nagoya Protocol on Access and Benefit-Sharing (ABS)
- the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA)
- the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

 /DarwinInitiative

 @Darwin_Defra

 darwininitiativeuk.wordpress.com



darwininitiative.org.uk

Twitter



- @Darwin_Defra
- Tweet us your project updates and blogs
- Over 3,000 followers
- #Nature4Life

A screenshot of the Darwin Initiative's Twitter profile page. The profile picture is a white rounded square containing the Darwin Initiative logo. The header shows the name "Darwin Initiative" and the handle "@Darwin_Defra". The bio states: "A UK government grant fund, the Darwin Initiative assists developing countries to meet their objectives under the biodiversity conventions." Below the bio, there is a location pin icon for "UK", a link icon for "darwin.defra.gov.uk", and a calendar icon for "Joined May 2011". The background of the profile card is a light blue gradient with a blurred image of coral and fish.

Darwin Initiative
@Darwin_Defra

A UK government grant fund, the Darwin Initiative assists developing countries to meet their objectives under the biodiversity conventions.

📍 UK

🔗 darwin.defra.gov.uk

📅 Joined May 2011

Blog



- Biodiversity and Poverty
- Monitoring and Evaluation Techniques
- Project Communications
- Newsletter articles
- Guest articles welcome!



[HOME](#) [ABOUT](#)

THE DARWIN INITIATIVE BLOG

Insights and personal musings from the world of biodiversity conservation and development. For more info on the Darwin Initiative see <https://www.gov.uk/government/groups/the-darwin-initiative>



April 10, 2017
by Victoria Pinion
[Leave a comment](#)

Ecotourism and biodiversity conservation – experience from Bwindi Impenetrable National Park in Uganda

by Lesley King

According to the UN, tourism has become 'one of the largest and fastest-growing economic sectors in the world' (UNWTO 2016). Indeed if you look at the Poverty Reduction Strategy Papers for many of the countries Darwin projects work in, tourism is

RECENT POSTS: THE DARWIN INITIATIVE BLOG

[Ecotourism and biodiversity conservation – experience from Bwindi Impenetrable National Park in Uganda](#)

[Learning from Monitoring and Evaluation, Darwin Initiative projects in Nepal](#)

[Social forestry: new hope or new worry for biodiversity conservation in Indonesia?](#)

[Managing conflict for conservation and wellbeing](#)

Information Notes



- Used to highlight and promote the Darwin Initiative
- For specific events or occasions
- Thematic
- Heavily reliant on good images and good stories



This information note is intended to help Darwin projects and applicants to understand Logical Frameworks (logframes) and their use. Monitoring and Evaluation (M&E) is a requirement of all Darwin Projects. The Logframe is the key tool used in Monitoring and Evaluation (M&E) of Darwin projects. This information note covers:

- Why should Darwin Applicants use a logframe?
- What is a logframe and what are its key components?
- How to develop a logframe.
- How to develop good indicators to measure project success.

It has been observed that Darwin applicants are often intimidated by logframes and struggle with their different components. This note has been developed to help applicants better understand the concept of the logframe and how to develop effective logframes for Darwin applications. It aims to demystify the

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Other Opportunities



- CBD Side events
- Workshops
- Lectures
- Conferences
- International Campaigns



Publicising your project



- Keep us informed of progress
- Please mention the Darwin Initiative and IWT-CF!
- Maintaining a high profile for the Darwin Initiative helps maintain Government funding
- Contact LTS and/or Defra for further info

Framing your project



- It is important consider how your project may be perceived by others
- Explaining your project to non-technical audiences is becoming more important
- The workshop will look at these issues in more detail and materials will be made available

Thanks for listening!



Final questions?